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## Grading leniency, grade discrepancy, and student ratings of instruction

Bryan W. Griffin\*

*Department of Curriculum, Foundations, and Reading, Georgia Southern University,  
P.O. Box 8144, Statesboro, GA 30460, USA*

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### Abstract

The purpose of this study was to examine how grading leniency and grade discrepancy (the difference between expected grades and deserved grades) were associated with various dimensions of student ratings of instruction. A sample of 754 undergraduate college students completed a student ratings of instruction instrument and provided responses to a number of other questions on topics such as course difficulty and workload. A series of multilevel regression analyses were conducted and results showed that an instructor's grading leniency, as perceived by students, was positively associated with student ratings on all dimensions of instruction examined. This finding suggests that more lenient instructors tend to receive higher student ratings. The second finding shows that grade discrepancy was negatively associated with most dimensions of instruction. This supports the self-serving bias hypothesis under attribution theory (Gigliotti & Buchtel, 1990) in that students tended to punish instructors with lower ratings when expected grades were lower than students believed they deserved, yet little evidence of a pattern of rewards existed in student ratings when students expected grades higher than they deserved.

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**Keywords:** Student ratings of instruction; Student evaluations of instruction; Grading leniency; Grade discrepancy; Self-serving bias; Attribution theory

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\* Fax: 1-912-681-5382.

E-mail address: [bwgriffin@gasou.edu](mailto:bwgriffin@gasou.edu).

## 25 1. Introduction

26 Student ratings are widespread and a common tool for evaluating faculty. When  
27 asked, most faculty members approve of the use of student ratings of instruction for  
28 teaching improvement (Baxter, 1991; Griffin, 1999; Moses, 1986; Schmelkin, Spen-  
29 cer, & Gellman, 1997), but many are resistant to the use of student ratings for tenure,  
30 promotion, and merit decisions (Feldman, 1997; McKeachie, 1997a). What many ed-  
31 ucators believe is that student ratings are affected, or biased, by a number of factors  
32 unrelated to teaching performance (Marsh & Overall, 1979; Wilson, 1998), and one  
33 common concern is that grading standards employed by instructors could bias rat-  
34 ings. As Marsh and Roche (2000) have noted, the average correlation between ex-  
35 pected grades and student ratings of instruction is around .20. Typically this  
36 relationship has been interpreted using one of three theoretical explanations (for re-  
37 views see Greenwald & Gillmore, 1997a; Marsh & Roche, 2000; Wachtel, 1998).

38 First, the positive correlation between expected grade and student ratings of in-  
39 struction may be explained as indicating a valid measurement of student ratings since  
40 better instruction should result in more learning, better grades, and better ratings.  
41 Second, the association between expected grades and ratings of instruction could  
42 be spurious and produced by various student characteristics such as motivation.  
43 For example, more motivated students who have greater interest in the subject mat-  
44 ter are likely to learn more, achieve more, and rate the instructor higher. Third, an  
45 association between expected grades and ratings could reflect some type of biasing  
46 effect. For example, one possible biasing effect is grading leniency. Under this hy-  
47 pothesis, instructors are rewarded with higher ratings for assigning higher grades  
48 as a result of lenient grading practices, or conversely penalized with lower ratings  
49 for assigning lower grades due to grading harshness. One important weakness of  
50 studies examining the grading leniency hypothesis is that few have incorporated mea-  
51 sures of student perceptions of the instructor's grading leniency (Marsh, 1987; Marsh  
52 & Roche, 2000).

53 Olivares' (2001) was the only study found that incorporated a measure of grading  
54 leniency. Olivares measured grading leniency by asking students to compare their  
55 current instructor to others they have had and rate this instructor's grading from  
56 1 "much easier/lenient grader" to 7 "much harder/strict grader." Olivares found ze-  
57 ro-order correlations of  $-.42$  between grading leniency and an overall rating of the  
58 instructor, and of  $-.45$  between grading leniency and a composite rating of the in-  
59 structor based on students' perceptions of the instructor's organization, communica-  
60 tion, level of caring, and classroom atmosphere. Given the scoring system of the  
61 rating scale used for grading leniency, the negative correlations indicate that more  
62 lenient grading was associated with higher ratings of the instructors. Olivares  
63 also found that the association between grading leniency and student ratings of  
64 the instructor remained after controlling for pre-course interest, change in interest,  
65 expected grade for the course, and a measure of cognitive ability.

66 In addition to the grading leniency hypothesis, another possible biasing effect in-  
67 terpretation for the grades–ratings association can be found in the theories of attri-  
68 bution and retribution (Feldman, 1997). Attribution theory suggests that a student

69 may react in one of two ways if that student receives a grade that differs from what  
70 was expected. If the grade is lower than expected, then the student is likely to activate  
71 a defensive mechanism commonly referred to as self-serving bias (Gigliotti & Buch-  
72 tel, 1990). With self-serving bias, a student will attempt to protect his or her view of  
73 self and assign blame for the lower than expected performance to an external cause.  
74 The likely target will be the instructor, so the student will rate the instructor lower,  
75 thus a rating penalty effect will occur. If a student receives a grade that is higher than  
76 expected, then the student will assign credit to this performance to internal causes,  
77 such as his or her intelligence, ability, hard work, etc. Since the better than expected  
78 grade is seen as a result of the student's behavior or ability, ratings of the instructor  
79 are not likely to differ from ratings given by students who receive grades as expected;  
80 in essence, there is no rating reward effect. Further diminishing the possible rating  
81 reward effect is the situation identified by Miller and Ross (1975) in which individ-  
82 uals typically anticipate positive outcomes, so it is unlikely that many students will  
83 acknowledge higher than expected grades since high grades were expected anyway.  
84 In short, with attribution theory and self-serving bias, students are likely to penalize  
85 instructors for lower than expected grades, but there is unlikely to be any reward ef-  
86 fect for the few students who might believe they are receiving a grade higher than  
87 expected. Retribution effect (Feldman, 1997) predicts simpler behavior on the part  
88 of students. If, for example, a student receives lower than expected grades, this indi-  
89 vidual will penalize the instructor, while a student who receives higher than expected  
90 grades will reward the instructor.

91 One difficulty with student ratings research using the self-serving bias and retribu-  
92 tion effect explanations has been the method for determining the  
93 grade discrepancy—whether grades are higher or lower than what students expect.  
94 The most direct method for assessing grade discrepancy is usually found in grade  
95 manipulation experiments in which students are lead to anticipate one grade, but  
96 then receive a grade inconsistent with their expectations (e.g., Abrami, Dickens, Per-  
97 ry, & Leventhal, 1980; Tata, 1999; Worthington & Wong, 1979). Reviewers of these  
98 studies, however, have pointed to a number of potential flaws. One important flaw is  
99 that in classroom settings, often students do not know what their actual grade will be  
100 before they complete instructional rating forms, so the external validity of these stud-  
101 ies is limited. For correlational studies of attribution and retribution effects, re-  
102 searchers often calculate grade discrepancy by considering pre-course grade point  
103 average (GPA) or pre-course expected grade, and then examining how the end-of-  
104 course expected grade or actual grade differs from the pre-course GPA or expected  
105 grade (e.g., Gigliotti & Buchtel, 1990; Granzin & Painter, 1973; Greenwald & Gill-  
106 more, 1997b; Palmer, Carliner, & Romer, 1978). A potential limitation of these de-  
107 signs is that students are very likely to reassess their expectations once they are  
108 exposed to the course and instructor, so pre-course grade expectation may provide  
109 an inaccurate grade discrepancy baseline. Similarly, the use of GPA for determining  
110 grade discrepancy could be misleading since performance, and expectation for per-  
111 formance, in a given course can be independent of performance in other courses.  
112 This does not mean that previous correlational studies are flawed or misleading,  
113 but alternative methods for assessing grade discrepancy may prove useful.

114 The purpose of this study is twofold. First, since only one study of the grading  
115 leniency hypothesis has incorporated a measure of leniency as perceived by students,  
116 it is important to understand better how scores from such a measure relate to student  
117 ratings, and to learn if the association between grading leniency and student ratings  
118 replicates across studies. Second, the calculation of grade discrepancy for assessing  
119 the self-serving bias and retribution effect hypotheses can be done in a manner that  
120 is perhaps more course appropriate than previously examined. Thus, the intent of  
121 this study is to examine the grading leniency explanation of student ratings by incor-  
122 porating a measure of students' perceptions of leniency, and to test both self-serving  
123 bias and retribution effect hypotheses by incorporating a more course specific mea-  
124 sure of grade discrepancy.

## 125 **Method**

### 126 *Participants*

127 A total of 754 undergraduate students enrolled in 39 education courses at a me-  
128 dium sized (14,000 students), Regional University in the southeastern United States  
129 participated in this study. The classes ranged in size from 6 to 34 students. Under-  
130 graduate education students at this institution are predominately White (71%) and  
131 female (80%). Most respondents (76%) reported grade point averages in the range  
132 of 2.5–3.5 on a 4.0 scale. Data were collected during the fall and spring semesters  
133 of the 1998–1999 academic year.

### 134 *Instrument and variables*

135 An instrument to assess student evaluations of instruction and course character-  
136 istics was developed drawing item and question wording from multiple sources (Ab-  
137 rami, d'Apollonia, & Rosenfield, 1997; Feldman, 1997; Marsh, 1987; Murray, 1997).  
138 To measure teaching effectiveness, 12 statements were used to assess multiple dimen-  
139 sions of instruction with ratings following a five-point scale. The 12 statements fol-  
140 low.

- 141 1. Overall, how would you rate this course?
- 142 2. Overall, how would you rate this instructor?
- 143 3. The instructor was dynamic and energetic in conducting the course.
- 144 4. The instructor presented the material in a clear and understandable manner.
- 145 5. Course materials were well prepared and organized.
- 146 6. Students were invited to share their ideas and knowledge.
- 147 7. The instructor made students feel welcome in seeking help/advice in or outside of  
148 class.
- 149 8. The content of this course is useful, worthwhile, or relevant to you.
- 150 9. Methods of evaluating student work were fair and appropriate.
- 151 10. The instructor seems to have a real interest in and concern for students.
- 152 11. The instructor gave students useful/helpful feedback on work.

153 12. The instructor is very knowledgeable in the subject of this course.

154 For the first 2 items, overall course and overall instructor, the scale ranged from 1  
155 "Poor" to 5 "Excellent" and for the remaining 10 items the scale ranged from 1  
156 "strongly disagree" to 5 "strongly agree."

157 The two predictors of interest in this study are grading leniency, which was as-  
158 sessed by students' responses to this statement, "This instructor is a lenient/easy gra-  
159 der" (1 "strongly disagree" to 5 "strongly agree"), and grade discrepancy, which was  
160 calculated as the difference between the grade a student expected ("What grade do  
161 you think the instructor will assign you in this course?") minus the grade a student  
162 believed they deserved in the course ("What grade do you think you deserve in this  
163 course?"). Both expected and deserved grades were assessed using a 12-point scale  
164 (i.e.,  $A+ = 13$ ,  $A = 12$ ,  $A- = 11$ , etc. through  $D- = 2$ ,  $F = 1$ ). The difference be-  
165 tween expected minus deserved grade can be interpreted as follows: a positive differ-  
166 ence indicates the expected was higher than the deserved grade (e.g., expect an  $A-$   
167 but deserve a  $B+$ ), no difference shows expected and deserved are the same (e.g., ex-  
168 pect a  $B$  and deserve a  $B$ ), and a negative difference shows that expected grade is low-  
169 er than deserved grade (e.g., expect  $B+$  and deserve  $A-$ ).

170 In addition to these measures, students also provided information concerning: (a)  
171 the instructor's reputation (1 "very bad" to 5 "very good," and 6 "didn't know about  
172 the instructor"), (b) course difficulty (1 "one of easiest" to 5 "one of most difficult"),  
173 (c) course workload (1 "very light" to 5 "very heavy"), (d) current GPA, and (e) pre-  
174 course motivation ("You had a strong desire to take this course," with responses  
175 ranging from 1 "strongly disagree" to 5 "strongly agree"). Class size and instructor's  
176 sex were also included in the analysis. Three categories of instructor reputation were  
177 developed for the analyses performed in this study: negative reputation, which in-  
178 cluded students who selected responses 1-3 ("instructor very bad" to "about aver-  
179 age") for the instructor reputation item; positive reputation, which included  
180 students who choose responses 4 and 5 ("above average" to "instructor very good")  
181 for the instructor reputation item; and no information, which consisted of students  
182 who selected response 6 ("didn't know about the instructor") for the instructor rep-  
183 utation item.

184 From these three categories of instructor reputation, two dummy variables (Pe-  
185 dhazur, 1997) were created for the regression analyses performed below. The first,  
186 called positive reputation, was coded 1 if student responses corresponded with the  
187 positive reputation category, and 0 otherwise. The second dummy variable was la-  
188 beled negative reputation and was coded 1 if student responses corresponded with  
189 the negative reputation category, otherwise a 0 was used. Of the 754 respondents,  
190 176 (23.3%) were classified into the positive reputation group, 420 (55.7%) into the  
191 no information group, and 158 (21%) into the negative reputation group.

192 Evidence for construct validity for the scores obtained from this instrument and  
193 sample can be assessed by examining correlations among scores from the dimensions  
194 of instruction and various other course-related variables. Correlations and descrip-  
195 tive statistics for the student-level variables are presented in Table 1. For example,  
196 prior research has demonstrated a generally positive relationship between students'  
197 pre-course motivation and students' ratings of instruction (Marsh, 1987), and a

Table 1  
Descriptive statistics and correlations among student-level variables

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21
1	1.000																				
2	.789	1.000																			
3	.715	.646	1.000																		
4	.716	.676	.728	1.000																	
5	.654	.618	.700	.759	1.000																
6	.479	.391	.499	.492	.481	1.000															
7	.617	.505	.548	.556	.544	.652	1.000														
8	.556	.658	.569	.608	.543	.415	.498	1.000													
9	.604	.519	.543	.571	.570	.602	.637	.467	1.000												
10	.675	.557	.635	.628	.618	.644	.762	.511	.703	1.000											
11	.656	.577	.645	.664	.662	.604	.663	.520	.671	.737	1.000										
12	.544	.487	.605	.597	.627	.537	.504	.506	.571	.658	.649	1.000									
13	.232	.167	.158	.190	.164	.238	.252	.061	.361	.276	.239	.145	1.000								
14	-.005	-.051	.033	.007	.003	.049	.062	-.048	.050	.031	.016	-.008	.055	1.000							
15	-.235	-.217	-.147	-.201	-.153	-.171	-.249	-.132	-.310	-.242	-.205	-.087	-.213	-.114	1.000						
16	.216	.202	.150	.176	.153	.109	.127	.174	.122	.134	.120	.117	.084	.012	-.058	1.000					
17	-.356	-.304	-.213	-.240	-.199	-.256	-.294	-.163	-.369	-.319	-.284	-.218	-.253	-.053	.229	-.284	1.000				
18	.131	.135	.157	.081	.133	.072	.045	.178	.027	.075	.099	.174	-.337	-.101	.199	.012	.112	1.000			
19	.048	.057	.114	-.021	.083	.015	.023	.039	.021	.039	.089	.086	-.169	-.048	.094	-.047	.067	.478	1.000		
20	.366	.496	.361	.381	.341	.203	.276	.486	.293	.304	.349	.254	.113	-.034	-.073	.143	-.141	.098	.134	1.000	
21	.166	.164	.153	.166	.130	.153	.226	.089	.244	.189	.172	.085	.158	.094	-.431	.051	-.174	-.275	-.110	.116	1.000
<i>M</i>	3.86	3.50	4.06	3.87	4.12	4.52	4.26	4.04	4.19	4.27	4.13	4.47	2.94	0.03	0.29	0.23	0.21	3.25	3.47	3.21	10.54
<i>SD</i>	1.16	1.13	1.11	1.15	1.02	0.81	0.99	1.14	1.02	0.97	1.01	0.80	1.16	0.17	0.46	0.42	0.41	0.90	0.94	1.10	1.77

*Note.* Variables include: 1, Overall Instructor Rating; 2, Overall Course Rating; 3, Dynamic/Energetic Rating; 4, Presented Clearly Rating; 5, Materials Organized Rating; 6, Students Invited to Share Ideas Rating; 7, Students Could Seek Help Rating; 8, Course Content Worthwhile Rating; 9, Fair Evaluations Rating; 10, Instructor Show Interest in Students Rating; 11, Feedback Helpful Rating; 12, Instructor Knowledgeable Rating; 13, Grading Leniency; 14, Positive Discrepancy (coded 1 if grade higher than deserved, 0 otherwise); 15, Negative Discrepancy (coded 1 if grade lower than deserved, 0 otherwise); 16, Positive Reputation Dummy (1 if student rated instructor as having positive reputation, 0 otherwise); 17, Negative Reputation Dummy (1 if student rated instructor as having negative reputation, 0 otherwise); 18, Course Difficulty; 19, Course Workload; 20, Pre-course Motivation; 21, Expected Grade.

All correlations larger than .071 in absolute value are statistically significant at the .05 level.  
*n* = 754.

198 similar pattern emerges for these data. Additionally, the grade students expect for a  
199 course correlates positively with ratings for the course (Wachtel, 1998), and this pat-  
200 tern also can be observed with these ratings. Similar findings exist for course work-  
201 load and course difficulty (Greenwald & Gillmore, 1997a, 1997b; Marsh & Roche,  
202 2000).

### 203 *Procedures*

204 Students in 39 classes were administered the evaluation instrument during the  
205 last week of regular classes in the fall and spring semesters of the 1998–1999  
206 academic year. Instructors were required to leave the classroom during evalua-  
207 tions. Students were told that evaluations would not be made available until af-  
208 ter course grades had been assigned and would only be provided to instructors  
209 in aggregate form.

### 210 **Results**

211 Of the 754 students sampled, 67.8% ( $n = 511$ ) believed that the grade they ex-  
212 pected in the course was the grade they deserved, hence there was no difference be-  
213 tween expected and deserved grade for these students. A total of 222 students  
214 (29.4%) expected a grade lower than they deserved and only 23 students (3.1%) ex-  
215 pected a grade higher than they deserved. Of the two competing theories, self-serving  
216 bias and retribution effect, these data provide a better fit to the self-serving bias ex-  
217 planation since so few students surveyed thought they were to receive a grade higher  
218 than deserved. Miller and Ross (1975) predicted such behavior. It is also interesting  
219 to note that the majority of students expected no discrepancy at all, so it is likely that  
220 any grade discrepancy effect on student ratings of instruction may be small or limited  
221 to only a minority of students overall.

222 To statistically model student ratings, it was necessary to create dummy variables  
223 (Pedhazur, 1997) for grade discrepancy. The first, labeled positive discrepancy, was  
224 created to represent those students who believed their expected grade would be high-  
225 er than deserved. The coding for this dummy was 1 for students expecting grades  
226 higher than deserved, and 0 for all other students. The second dummy variable,  
227 called negative discrepancy, was created to represent those students who believed  
228 their expected grade would be lower than their deserved grade, with coding of 1  
229 for students expecting lower grades, and 0 for all others.

230 As the correlations in Table 1 show, grading leniency was positively corre-  
231 lated with each of the 12 instructional rating items. The correlations ranged  
232 from a low of .06 to a high of .36, with an average correlation of .21. The po-  
233 sitive discrepancy dummy variable showed an inconsistent pattern of correla-  
234 tions, with both positive and negative correlations with the 12 ratings items,  
235 and with no correlation greater than .06 in absolute value. The negative discrep-  
236 ancancy dummy demonstrated a consistently negative pattern of correlations with  
237 each of the 12 ratings items, with correlations ranging from  $-.08$  to  $-.31$ . These

238 correlations indicate that students with lower expected than deserved grades  
239 tended to rate the instructor and instruction lower on each of the 12 instruc-  
240 tional rating items.

241 While the zero-order correlations are informative about the general nature of  
242 the relationship among these variables, it is important to determine whether these  
243 patterns of association remain once other predictors of student ratings are taken  
244 into account in a regression equation. To learn whether grading leniency and  
245 grade discrepancy are associated with student ratings of instruction, multilevel re-  
246 gression (Bryk & Raudenbush, 1992; Goldstein, 1995; Longford, 1993) was used  
247 in an effort to examine variation in student ratings both within and across classes.  
248 Several researchers of student ratings of instruction (e.g., Cranton & Smith, 1990;  
249 Feldman, 1998; Gigliotti & Buchtel, 1990) have noted that the level of analysis,  
250 either student- or class-level, at which student ratings are examined could influ-  
251 ence the nature of statistical relationships revealed. For example, the analysis  
252 of class means rather than student-level data may obscure important variation  
253 in ratings that result from individual student differences within the classroom.  
254 Multilevel analysis allows one to combine both levels of analysis to provide a  
255 more complete model of student ratings.

256 Incorporated into the multilevel analyses that follow were several covariates  
257 previously identified as important predictors of student ratings of instruction.  
258 At the student level, these covariates include course difficulty, course workload,  
259 pre-course motivation, instructor reputation, and expected grade in the course.  
260 Research on student ratings has demonstrated course difficulty and course work-  
261 load, often measured together, to correlate positively with ratings of instruction  
262 (Greenwald & Gillmore, 1997a, 1997b; Marsh, 1980; Marsh & Roche, 2000). In-  
263 terest in the subject matter of the course before enrollment—pre-course motiva-  
264 tion—has been linked to higher student ratings of instruction (Howard &  
265 Maxwell, 1980; Marsh, 1980; Prave & Baril, 1993). Barké, Tollefson, and Tracy  
266 (1983), Griffin (2001), and Ory (1980) found that instructor reputation was as-  
267 sociated with various measures of teaching effectiveness. Finally, expected grade  
268 in the course, which typically correlates positively with ratings, has been the  
269 subject of much debate and research (Greenwald & Gillmore, 1997a; Marsh,  
270 1987; Marsh & Roche, 1997, 2000; McKeachie, 1997b) and therefore was in-  
271 cluded in the analysis.

272 At the class level, class size and instructor sex were included. Research shows that  
273 class size correlates, albeit weakly, with ratings of instruction (Feldman, 1994). The  
274 sex of the instructor also appears to relate to student ratings. Feldman's (1998) re-  
275 views have shown that women tend to receive slightly higher ratings than men. How-  
276 ever, Feldman (1998) also notes that a same-sex favorability in ratings exists;  
277 students of the same sex as their instructor may provide slightly higher ratings (Cen-  
278 tra & Gaubatz, 2000). Since the majority of students in the classes examined in this  
279 study were women, it is likely that women instructors in this sample may have higher  
280 ratings.

281 Thus, the models examined were, with variables enclosed in parentheses, as  
282 follows:



283 *Student-level*

$$\begin{aligned}
 (\text{Student Rating of Instruction Item})_{ij} = & \beta_{0j} + \beta_1(\text{Grading Leniency})_{ij} \\
 & + \beta_2(\text{Positive Discrepancy})_{ij} \\
 & + \beta_3(\text{Negative Discrepancy})_{ij} \\
 & + \beta_4(\text{Positive Reputation})_{ij} \\
 & + \beta_5(\text{Negative Reputation})_{ij} \\
 & + \beta_6(\text{Course Difficulty})_{ij} \\
 & + \beta_7(\text{Course Workload})_{ij} \\
 & + \beta_8(\text{Pre-course motivation})_{ij} \\
 & + \beta_9(\text{Expected Grade})_{ij} + e_{ij}.
 \end{aligned}$$

285 At the class-level, mean ratings of the instructor were modeled with class size and  
 286 instructor sex:

287 *Class-level*

$$\beta_{0j} = \gamma_{00} + \gamma_{01}(\text{Instructor's Sex})_j + \gamma_{02}(\text{Class Size})_j + \mu_{0j}.$$

289 Combining the student- and class-level equations yields the following model of in-  
 290 structor rating:

291 *Combined*

$$\begin{aligned}
 (\text{Student Rating of Instruction Item})_{ij} = & \gamma_{00} + \beta_1(\text{Grading Leniency})_{ij} \\
 & + \beta_2(\text{Positive Discrepancy})_{ij} \\
 & + \beta_3(\text{Negative Discrepancy})_{ij} \\
 & + \beta_4(\text{Positive Reputation})_{ij} \\
 & + \beta_5(\text{Negative Reputation})_{ij} \\
 & + \beta_6(\text{Course Difficulty})_{ij} \\
 & + \beta_7(\text{Course Workload})_{ij} \\
 & + \beta_8(\text{Pre-course motivation})_{ij} \\
 & + \beta_9(\text{Expected Grade})_{ij} \\
 & + \gamma_{01}(\text{Instructor's Sex})_j \\
 & + \gamma_{02}(\text{Class Size})_j + e_{ij} + \mu_{0j}.
 \end{aligned}$$

293 This combined model was used to estimate the regression coefficients for each of  
 294 the 12 rating items presented above. Multilevel regression results, using full informa-  
 295 tion maximum likelihood to obtain estimates (Hox, 1995), are presented in Table 2.

Table 2  
Multilevel regression results for student ratings of instruction

	Overall Instructor		Overall Course		Dynamic and Energetic		Presented Clearly		Materials Organized		Students Shared Ideas	
	B	SE B	B	SE B	B	SE B	B	SE B	B	SE B	B	SE B
<i>Fixed Portion of Model</i>												
Student Level												
Grading Leniency	.12*	.03	.06*	.03	.11*	.03	.12*	.03	.10*	.03	.12*	.03
Grade Discrepancy												
Positive Discrepancy	-.14	.17	-.32	.17	.19	.18	.06	.18	.01	.18	.20	.15
Negative Discrepancy	-.24*	.07	-.23*	.07	-.10	.07	-.21*	.08	-.11	.08	-.11	.06
Instructor Reputation												
Positive Reputation	.21*	.08	.10	.07	.08	.08	.07	.08	.09	.08	.04	.07
Negative Reputation	-.39*	.10	-.32*	.09	-.19*	.10	-.08	.10	-.13	.10	-.28*	.08
Course Difficulty	.17*	.04	.13*	.04	.13*	.04	.13*	.05	.11*	.04	.15*	.04
Course Workload	.00	.04	.02	.04	.01	.04	-.07	.04	.03	.04	-.03	.04
Pre-course Motivation	.20*	.03	.32*	.03	.18*	.03	.20*	.03	.17*	.03	.08*	.03
Expected Grade	.08*	.02	.07*	.02	.07*	.02	.10*	.02	.06*	.02	.06*	.02
Intercept	2.05*	.46	1.80*	.42	2.30*	.46	2.40*	.47	2.65*	.42	2.97*	.33
Class Level												
Class Size	-.01	.01	-.02	.01	-.01	.01	-.02	.01	-.01	.01	.00	.01
Instructor's Sex	-.54*	.21	-.41*	.18	-.46*	.20	-.41*	.20	-.36*	.16	-.10	.11
<i>Random Portion of Model</i>												
Class-level variance	.35*		.27*		.35*		.33*		.20*		.08*	
Student-level variance	.62*		.57*		.64*		.68*		.64*		.48*	
R <sup>2</sup> (total variance modeled)	.32		.36		.22		.24		.20		.17	

Table 2 (continued)

	Students Could Seek Help		Course Content Worthwhile		Fair Evaluation of Students		Interest in Students		Feedback Helpful		Instructor Knowledgeable	
	B	SE B	B	SE B	B	SE B	B	SE B	B	SE B	B	SE B
<i>Fixed Portion of Model</i>												
Student Level												
Grading Leniency	.13*	.03	.03	.03	.19*	.03	.13*	.03	.14*	.03	.08*	.03
Grade Discrepancy												
Positive Discrepancy	.26	.18	-.12	.19	.12	.17	.10	.17	.06	.17	-.01	.15
Negative Discrepancy	-.25*	.08	-.19*	.08	-.31*	.07	-.23*	.07	-.17*	.07	-.01	.06
Instructor Reputation												
Positive Reputation	.05	.08	.05	.08	-.02	.07	.04	.07	.02	.08	.00	.07
Negative Reputation	-.37*	.09	-.27*	.10	-.49*	.09	-.36*	.09	-.30*	.09	-.32*	.08
Course Difficulty	.15*	.04	.18*	.05	.13*	.04	.14*	.04	.11*	.04	.16*	.04
Course Workload	-.01	.04	-.01	.04	.02	.04	.02	.04	.07	.04	.01	.04
Pre-course Motivation	.15*	.03	.37*	.03	.16*	.03	.16*	.03	.20*	.03	.09*	.03
Expected Grade	.07*	.02	.04	.02	.08*	.02	.06*	.02	.08*	.02	.04*	.02
Intercept	2.54*	.38	2.43*	.44	2.05*	.38	2.69*	.38	2.10*	.40	3.39*	.33
Class Level												
Class Size	-.01	.01	-.01	.01	-.01	.01	-.01	.01	-.01	.01	-.02	.01
Instructor's Sex	-.37*	.12	-.43*	.17	-.15	.14	-.29*	.14	-.30*	.15	-.20	.11
<i>Random Portion of Model</i>												
Class-level variance	.09*		.23*		.13*		.14*		.16*		.08*	
Student-level variance	.65*		.71*		.57*		.56*		.62*		.46*	
R <sup>2</sup> (total variance modeled)	.26		.31		.32		.27		.25		.17	

Note. Positive Discrepancy coded 1 if expected grade is higher than believed deserved, 0 otherwise; Negative Discrepancy coded 1 if expected grade lower than believed deserved, 0 otherwise; Positive Reputation dummy coded 1 if student rated instructor as having positive reputation, 0 otherwise; and Negative Reputation dummy coded 1 if student rated instructor as having negative reputation, 0 otherwise. R<sup>2</sup> is calculated in the normal manner (Pedhazur, 1997), but model variance is calculated by summing both the between and within class variances (Snijders & Bosker, 1999).

n = 754 students in 39 courses.

\* p < .05.

296 The regression results in Table 2 indicate that grading leniency was statistically  
297 and positively related to 11 of the 12 rating items. The weakest relationship  
298 ( $b = .03$ ) was with the course content item, and this was the only partial coefficient  
299 for grading leniency that was not statistically significant. The strongest relationship  
300 ( $b = .19$ ) was with the fair evaluation of students item. The latter coefficient may be  
301 interpreted as showing that the more lenient the instructor's grading, the more fair  
302 and appropriate was judged the instructor's evaluations of students' work. The aver-  
303 age partial regression coefficient for the 12 items was .11. To put these estimates into  
304 perspective, consider the situation of examining the single overall instructor rating  
305 item for which the grading leniency regression estimate is  $b = .12$ . Assuming that  
306 all other factors are held constant, two instructors who differ only on perceived grad-  
307 ing leniency by one standard deviation ( $SD = 1.16$ , see Table 1) could expect an av-  
308 erage mean difference of  $1.16 \times .12 = .14$  points on their overall instructor rating  
309 item. On the extremes, one instructor judged the least lenient (rating = 1) and an-  
310 other judged most lenient (rating = 5) would differ by  $(5-1) \times .12 = .48$  points on  
311 their average overall instructor rating; for example, say 4.48 vs. 4.00 on a scale of  
312 1-5.

313 The relationship between grade discrepancy and student ratings was more com-  
314 plex than that found with grading leniency. The positive discrepancy dummy vari-  
315 able was positively related to 8 of the 12 ratings items, and negatively related to  
316 the remaining 4 ratings items. In no cases were the coefficient estimates for this dum-  
317 my variable statistically significant, and in all cases the standard errors for the coef-  
318 ficients were relatively large, thus indicating great variability in the estimates. Given  
319 the small sample size of students who thought their expected grade was higher than  
320 their deserved grade ( $n = 23$ ), such unreliable estimates should be expected. The re-  
321 gression estimates obtained for the positive discrepancy dummy show a weak and  
322 inconsistent pattern of rating behavior for this group of students.

323 Unlike the positive discrepancy dummy, the dummy variable negative discrepancy  
324 demonstrated a consistent and negative pattern of rating behavior for students ex-  
325 pecting grades lower than they perceive they deserved. The negative discrepancy  
326 dummy was found to be negatively associated with student ratings in all cases,  
327 and was statistically significant for 8 of the 12 ratings items. Since negative grade dis-  
328 crepancy is a dummy variable, the regression coefficient may be interpreted as the  
329 mean difference in student ratings between those students who expect a grade lower  
330 than they deserve and everyone else. The largest difference ( $b = -.31$ ) was for the fair  
331 evaluation of students item, and the smallest difference ( $b = -.01$ ) was found for the  
332 instructor knowledgeable item. Drawing on the example above using the overall in-  
333 structor rating item, consider two instructors who differ only in the expectations held  
334 by their students regarding their expected and deserved grades. The overall instruc-  
335 tor rating for the instructor with students who believe their expected grades will be  
336 lower than they deserve will be  $-.24$  points lower than the instructor whose students  
337 do not anticipate any difference between their expected and deserved grades, e.g.,  
338 4.00 vs. 4.24.

339 For the other variables included in the models, results mirrored findings from pre-  
340 vious studies. The strongest predictor of ratings was pre-course motivation. The neg-

341 ative instructor reputation dummy variable was negatively related to each rating  
342 item except for two. Course difficulty was consistently, and positively, related to  
343 all rating items. The more difficult the course, as judged by students, the more posi-  
344 tive were student ratings. Course workload was not statistically related to any of the  
345 rating items. Expected grade was also positively and statistically related to 11 of the  
346 12 rating items. The partial regression coefficients for expected grade ranged from a  
347 low of .04 to a high of .10.

## 348 Discussion

349 Recall the three possible interpretations of the positive relationship between ex-  
350 pected grade and student ratings of instruction: (a) valid teaching/learning associa-  
351 tion, (b) spurious association, and (c) biasing effect. Two ways of expressing the  
352 biasing effect were examined in this paper, grading leniency and grade discrepancy.  
353 Grading leniency was positively, and linearly, associated with 11 of the 12 rating  
354 items. The positive relationship means that students tended to rate higher those in-  
355 structors judged to be more lenient graders, and, conversely, instructors with harsher  
356 grading practices tend to receive lower ratings. This finding replicates that reported  
357 by Olivares (2001) who also found that instructors with more lenient grading prac-  
358 tices tended to have higher student ratings. On the basis of results from this study  
359 and Olivares' study, it appears that students rate instructors who are lenient graders  
360 higher than instructors who are less lenient with their grading.

361 Also examined was the relationship between student ratings and grade discrep-  
362 ancancy, which is defined in this study as the difference between students' expected grade  
363 and perceived deserved grade. Two theoretical explanations for such an effect were  
364 listed, self-serving bias and retribution effect. As noted, self-serving bias suggests that  
365 students will penalize instructors for lower than deserved grades, but will not reward  
366 instructors for higher than deserved grades. Retribution effect holds that students  
367 will reward instructors for higher than deserved grades, and penalize instructors  
368 for lower than deserved grades. The data examined here provide a better fit to the  
369 self-serving bias hypothesis. Only about 3% of the students sampled expected grades  
370 higher than they deserved, and about 29% expected grades lower than they deserved.  
371 There was little evidence that those who expected higher than deserved grades re-  
372 warded instructors with higher ratings when compared to ratings made by other stu-  
373 dents in the sample. None of the regression estimates for this group of students was  
374 statistically different from zero. There is, however, evidence of a penalty effect; stu-  
375 dents who expected grades lower than they deserved consistently provided ratings  
376 that were lower than other students. The differences, adjusted for the modeled cova-  
377 riates, ranged from low of  $-.01$  to a high of  $-.31$ , with the overall average of  $-.18$ .  
378 This penalty effect is also consistent with findings of a grading harshness effect  
379 (Marsh & Roche, 2000; Worthington & Wong, 1979) in which students rate lower  
380 instructors perceived to grade harshly. Note, however, that Marsh and Roche  
381 (2000) point out that the self-serving bias may not be a bias under certain conditions  
382 for student ratings of instruction. Perhaps, for example, if a grade discrepancy is due

383 to factors unrelated to instruction or the instructor, then students may not provide  
384 lower ratings. Unfortunately, the reason for a grade discrepancy was not assessed  
385 this study, so it is impossible to know further what students were thinking when they  
386 identified a grade discrepancy.

387 In summary, these results suggest two things. First, there may be a grading leniency  
388 effect in student ratings, but so far only this study and Olivares' (2001)  
389 study have apparently examined directly students' perceptions of grading leniency.  
390 Replication studies are needed to further evaluate this finding. Second, in addition  
391 to a possible grading leniency effect, there appears to be an association between  
392 a negative grade discrepancy and student ratings. This finding supports the self-serving  
393 bias hypothesis in that students appear to penalize instructors when  
394 grades are lower than expected, but do not reward instructors when grades are  
395 higher than expected. Since grading leniency and grade discrepancy, both possible  
396 parts of the biasing effect interpretation, were statistically controlled in the multilevel  
397 regression models, the partial regression coefficients for expected grade may  
398 represent a more pure examination of the: (a) valid teaching/learning association  
399 and (b) spurious association hypotheses. Several factors that could lead to the  
400 spurious association effect were included in the regression models, such as pre-  
401 course motivation, course difficulty and workload. It is possible, though, that  
402 other factors could contribute to the observed relationship between expected  
403 grade and ratings found in this and other studies. More careful examinations taking  
404 into account various motivational factors such as intrinsic and extrinsic motivation,  
405 personal control, and autonomy may prove useful in further elimination  
406 of the spurious effects hypothesis. However, since at least part of the spurious association  
407 and biasing effects hypotheses have been controlled in this study, that  
408 means the relationships between expected grades and student ratings of instruction  
409 found in the current study probably can be explained, at least in part, by  
410 the valid teaching/learning hypothesis. Thus, the results provided here suggest  
411 that student ratings of instruction are probably a function of both valid teaching  
412 and learning and some biasing due to grading leniency and grade discrepancy.

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