

Sample Authorship Survey Response and Code Sheet
#1140

Factors Determining Author Name Placement

The purpose of this survey is to learn of the reasoning and criteria used when deciding name placement (i.e., first author, second author, etc.) in publications. You were selected for this study because you recently (1998) published in an AERA-related publication. Please do not put your name or other identifying information on this survey instrument. All responses will be strictly confidential. As soon as the data have been collected, the original questionnaires will be destroyed. In addition, data analyses, reports, and recommendations will be based on large enough groupings of information that individual responses will not be identifiable.

Please mail completed surveys to Dr. Michael Moore, Dept. of ECE&R, Office 1140, P.O. Box 8083, Georgia Southern University, Statesboro, GA 30460-8083 by March 17, 2000. If you have any questions about this research project, please call Dr. M. Moore at 912-681-0211 (e-mail: mmoore@gasou.edu). If you have any questions or concerns about your rights as a research participant in this study, they should be directed to the IRB Coordinator at the Office of Research Services and Sponsored Programs (912-681-5465) at Georgia Southern University.

If space does not permit a full response, please attach additional response sheets as necessary or continue responses on back of this sheet. Thank you for your thoughtful and frank responses.

1. How many refereed publications have you authored or coauthored in the past five years? (For purposes of this study we refer to refereed publications, or manuscripts in-press, to include journal articles, book chapters, or books.)

16

2. How many of the publications listed in response to question #1 were coauthored?

16

3. Of your last three coauthored publications, list specifically what reasoning or criteria were used to determine the placement order of author names for each of these three publications.

(c) ~~(a)~~ first author wrote paper; second author discussed ideas for paper + edited drafts
(me)

(b) ascending order of contributions

first author - PI for research project wrote initial draft

2nd + 3rd authors - graduate research assistants
(me) - collected data + engaged in analysis sessions
- 2nd author contributed more (including presenting at conferences)

4th author - research secretary
(a) ~~(b)~~ - contributions were administrative and technical, not academic/scholarly (PI/first author added her name without discussion with other authors)

first author - PI for research project + wrote first draft

2nd author - graduate research assistant, assisted with data collection + analysis

3rd author - graduate research assistant, assisted with data collection + analysis
(me) (next page please) more substantive contributions than 2nd author, was listed as 2nd author on earlier drafts + conference presentations but switched by 1st author with no justification

4. What do you think are the benefits of coauthored publications?

- research is an inherently social process
- collaboration capitalizes on the social distribution of expertise and provides committed collaborators to strengthen theoretical + methodological frame
- the arguments + debates between coauthors help to build strong arguments that are less likely to be opposed by reviewers
- enhanced commitment to the work when multiple authors are involved
- it's possible to distribute the workload and accomplish more in a given period of time (works for the first draft but not editing + subsequent drafts which tend to take longer).

5. What do you think are the benefits of single authored publications?

- easier to establish independent scholarly contributions
- avoid the difficulties of arriving at consensus regarding content + style of paper, and order of authorship
- no need to juggle competing work schedules

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Question 3 Categories: What determines name placement?

	(A)	(B)	(C)
1.	<input type="checkbox"/> <u>Contribution Amount</u>	<input type="checkbox"/> <u>Contribution Amount</u>	<input type="checkbox"/> <u>Contribution Amount</u>
2.	<input checked="" type="checkbox"/> Written	<input checked="" type="checkbox"/> Written	<input checked="" type="checkbox"/> Written
3.	<input type="checkbox"/> Analyzed	<input checked="" type="checkbox"/> Analyzed	<input checked="" type="checkbox"/> Analyzed
4.	<input type="checkbox"/> Ideas (defined as _____)	<input type="checkbox"/> Ideas (defined as _____)	<input type="checkbox"/> Ideas (defined as _____)
5.	<input type="checkbox"/> Time Devoted	<input type="checkbox"/> Time Devoted	<input type="checkbox"/> Time Devoted
6.	<input type="checkbox"/> Responsibility	<input type="checkbox"/> Responsibility	<input type="checkbox"/> Responsibility
7.	<input type="checkbox"/> Data Collection / Fieldwork	<input checked="" type="checkbox"/> Data Collection / Fieldwork	<input checked="" type="checkbox"/> Data Collection / Fieldwork
8.	<input type="checkbox"/> Linked Results to Theory	<input type="checkbox"/> Linked Results to Theory	<input type="checkbox"/> Linked Results to Theor
9.	<input type="checkbox"/> <u>Assist Colleagues</u>	<input type="checkbox"/> <u>Assist Colleagues</u>	<input type="checkbox"/> <u>Assist Colleagues</u>
10.	<input type="checkbox"/> Based upon need	<input type="checkbox"/> Based upon need	<input type="checkbox"/> Based upon need
11.	<input type="checkbox"/> Tenure	<input type="checkbox"/> Tenure	<input type="checkbox"/> Tenure
12.	<input type="checkbox"/> Promotion	<input type="checkbox"/> Promotion	<input type="checkbox"/> Promotion
13.	<input type="checkbox"/> Novice Scholars/Colleagues	<input type="checkbox"/> Novice Scholars/Colleagues	<input type="checkbox"/> Novice Scholars/Colleag
14.	<input type="checkbox"/> <u>Idea Origination</u>	<input type="checkbox"/> <u>Idea Origination</u>	<input type="checkbox"/> <u>Idea Origination</u>
15.	<input type="checkbox"/> Conceptualization of Study	<input type="checkbox"/> Conceptualization of Study	<input type="checkbox"/> Conceptualization of Stu
16.	<input type="checkbox"/> Initiated Study	<input type="checkbox"/> Initiated Study	<input type="checkbox"/> Initiated Study
17.	<input type="checkbox"/> <u>Seniority/Leadership</u>	<input type="checkbox"/> <u>Seniority/Leadership</u>	<input type="checkbox"/> <u>Seniority/Leadership</u>
18.	<input type="checkbox"/> Rank of Authors	<input type="checkbox"/> Rank of Authors	<input type="checkbox"/> Rank of Authors
19.	<input type="checkbox"/> Guidance/Leadership	<input type="checkbox"/> Guidance/Leadership	<input type="checkbox"/> Guidance/Leadership
20.	<input type="checkbox"/> Experience	<input type="checkbox"/> Experience	<input type="checkbox"/> Experience
21.	<input type="checkbox"/> <u>Mechanical Decision</u>	<input type="checkbox"/> <u>Mechanical Decision</u>	<input type="checkbox"/> <u>Mechanical Decision</u>
22.	<input type="checkbox"/> Alphabetical Order	<input type="checkbox"/> Alphabetical Order	<input type="checkbox"/> Alphabetical Order
23.	<input type="checkbox"/> Rotated Authorship	<input type="checkbox"/> Rotated Authorship	<input type="checkbox"/> Rotated Authorship
24.	<input type="checkbox"/> <u>From Thesis/Dissertation</u>	<input type="checkbox"/> <u>From Thesis/Dissertation</u>	<input type="checkbox"/> <u>From Thesis/Dissertation</u>
25.	<input type="checkbox"/> <u>Grant Recipient/PI</u>	<input checked="" type="checkbox"/> <u>Grant Recipient/PI</u>	<input checked="" type="checkbox"/> <u>Grant Recipient/PI</u>

Note Interesting Quotations

Question 4 Categories: What are benefits of co-authored publications?

- 26. Professional Development
- 27. Mentor Novice Writers
- 28. Learn from Experienced Professionals
- 29. Enhanced Vita with Less Work

- 30. Collaboration
- 31. General Enjoyment of Collaboration
- 32. Builds Community among Academics/ Interaction Among Colleagues
- 33. Emotional Support
- 34. Sharing of Ideas
- 35. Enables More Extensive Research
- 36. Motivation to Complete Task

- 37. Division of Labor/Workload
- 38. Synthesis of Specialist Skills/Complementary Contributions of Authors
- 39. Shared Responsibility

- 40. Quality of Work/Ideas
- 41. Diversity of Perspective in Work/Ideas
- 42. Coauthor Peer Review of Work/Ideas
- 43. Clearer Thinking/Stronger Presentation/Better Written Work
- 44. Synthesis of Ideas

Question 5 Categories: What are benefits of single authored publications?

- 45. Administrative Review
- 46. Tenure and Promotion Committees Prefer Single Authored Work
- 47. University Favors this More
- 48. Publication Held in Higher Regard

- 49. All Responsibility/Credit/Blame to Single Author
- 50. Shows One Can Work Independently
- 51. Self-promotion

- 52. Control of Ideas/Content/Process

- 53. Facilitates Development of Paper
- 54. Easier
- 55. Faster
- 56. Own Timetable
- 57. More Focused

Note Interesting Quotations

Enhanced commitment by co-authorship