# Sample Authorship Survey Response and Code Sheet
## #420

**Factors Determining Author Name Placement**

The purpose of this survey is to learn of the reasoning and criteria used when deciding name placement (i.e., first author, second author, etc.) in publications. You were selected for this study because you recently (1998) published in an AERA-related publication. Please do not put your name or other identifying information on this survey instrument. All responses will be strictly confidential. As soon as the data have been collected, the original questionnaires will be destroyed. In addition, data analyses, reports, and recommendations will be based on large enough groupings of information that individual responses will not be identifiable.

Please mail completed surveys to Dr. Michael Moore, Dept. of ECE&R, Office 420, P.O. Box 8083, Georgia Southern University, Statesboro, GA 30460-8083 by March 17, 2000. If you have any questions about this research project, please call Dr. M. Moore at 912-681-0211 (e-mail: mmoore@gasou.edu). If you have any questions or concerns about your rights as a research participant in this study, they should be directed to the IRB Coordinator at the Office of Research Services and Sponsored Programs (912-681-5465) at Georgia Southern University.

If space does not permit a full response, please attach additional response sheets as necessary or continue responses on back of this sheet. Thank you for your thoughtful and frank responses.

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1. How many refereed publications have you authored or coauthored in the past five years? (For purposes of this study we refer to refereed publications, or manuscripts in-press, to include journal articles, book chapters, or books.)    *2*

2. How many of the publications listed in response to question #1 were coauthored?    *2*

3. Of your last three coauthored publications, list specifically what reasoning or criteria were used to determine the placement order of author names for each of these three publications.

(a) *① Lead author was senior to co-authors (professor), while co-authors were graduate students. ② Lead author wrote intro. + conclusion sections of paper.*

(b) *① Lead author was senior to co-author. ② Lead author wrote first section of paper and conclusion.*

(c)

(next page please)

4. What do you think are the benefits of coauthored publications?

Drawing upon different knowledge bases and perspectives for analysis and writing.

Feedback / critiquing of the drafts and final written product.

Name recognition of one author seems to help get articles accepted by a journal; otherwise a new author has a hard time getting work sent to referees.

5. What do you think are the benefits of single authored publications?

Establishing a record of one's own scholarship — mostly for purpose of obtaining tenure or other positive rewards / advancement within academic institutions.

Pursuing one's own interest & perspective in a written piece, without the need to accomodate someone else's professional interest/perspectives.

_√2ᴰ_ ID (Office Number)

## Code Sheet for Authorship Study

Question 3 Categories: What determines name placement?

| | (A) | (B) | (C) |
|---|---|---|---|
| 1. | ____ Contribution Amount | ____ Contribution Amount | ____ Contribution Amount |
| 2. | ✗ Written | ✗ Written | ____ Written |
| 3. | ____ Analyzed | ____ Analyzed | ____ Analyzed |
| 4. | ____ Ideas (defined as ____) | ____ Ideas (defined as ____) | ____ Ideas (defined as ____) |
| 5. | ____ Time Devoted | ____ Time Devoted | ____ Time Devoted |
| 6. | ____ Responsibility | ____ Responsibility | ____ Responsibility |
| 7. | ____ Data Collection / Fieldwork | ____ Data Collection / Fieldwork | ____ Data Collection / Fieldwork |
| 8. | ____ Linked Results to Theory | ____ Linked Results to Theory | ____ Linked Results to Theory |
| 9. | ____ Assist Colleagues | ____ Assist Colleagues | ____ Assist Colleagues |
| 10. | ____ Based upon need | ____ Based upon need | ____ Based upon need |
| 11. | ____ Tenure | ____ Tenure | ____ Tenure |
| 12. | ____ Promotion | ____ Promotion | ____ Promotion |
| 13. | ____ Novice Scholars/Colleagues | ____ Novice Scholars/Colleagues | ____ Novice Scholars/Colleagues |
| 14. | ____ Idea Origination | ____ Idea Origination | ____ Idea Origination |
| 15. | ____ Conceptualization of Study | ____ Conceptualization of Study | ____ Conceptualization of Study |
| 16. | ____ Initiated Study | ____ Initiated Study | ____ Initiated Study |
| 17. | ____ Seniority/Leadership | ____ Seniority/Leadership | ____ Seniority/Leadership |
| 18. | ✗ Rank of Authors | ✗ Rank of Authors | ____ Rank of Authors |
| 19. | ____ Guidance/Leadership | ____ Guidance/Leadership | ____ Guidance/Leadership |
| 20. | ____ Experience | ____ Experience | ____ Experience |
| 21. | ____ Mechanical Decision | ____ Mechanical Decision | ____ Mechanical Decision |
| 22. | ____ Alphabetical Order | ____ Alphabetical Order | ____ Alphabetical Order |
| 23. | ____ Rotated Authorship | ____ Rotated Authorship | ____ Rotated Authorship |
| 24. | ____ From Thesis/Dissertation | ____ From Thesis/Dissertation | ____ From Thesis/Dissertation |
| 25. | ____ Grant Recipient/PI | ____ Grant Recipient/PI | ____ Grant Recipient/PI |

Note Interesting Quotations

Question 4 Categories: What are benefits of co-authored publications?

26. _____ <u>Professional Development</u>
27. _____ Mentor Novice Writers
28. _____ Learn from Experienced Professionals
29. _____ Enhanced Vita with Less Work

*None of coauthor vbsbork d of ... mention basier* (handwritten)

30. _____ <u>Collaboration</u>
31. _____ <u>General Enjoyment of Collaboration</u>
32. _____ Builds Community among Academics/ Interaction Among Colleagues
33. _____ Emotional Support
34. _____ Sharing of Ideas
35. _____ Enables More Extensive Research
36. _____ Motivation to Complete Task

37. _____ <u>Division of Labor/Workload</u>
38. __X__ Synthesis of Specialist Skills/Complementary Contributions of Authors
39. _____ Shared Responsibility

40. _____ <u>Quality of Work/Ideas</u>
41. __X__ Diversity of Perspective in Work/Ideas
42. __X__ Coauthor Peer Review of Work/Ideas
43. _____ Clearer Thinking/Stronger Presentation/Better Written Work
44. _____ Synthesis of Ideas

Question 5 Categories: What are benefits of single authored publications?

45. __X__ <u>Administrative Review</u>
46. __X__ Tenure and Promotion Committees Prefer Single Authored Work
47. _____ University Favors this More
48. _____ Publication Held in Higher Regard

49. _____ <u>All Responsibility/Credit/Blame to Single Author</u>
50. __X__ Shows One Can Work Independently
51. _____ Self-promotion

52. __X__ <u>Control of Ideas/Content/Process</u>

53. _____ <u>Facilitates Development of Paper</u>
54. _____ Easier
55. _____ Faster
56. _____ Own Timetable
57. _____ More Focused

Note Interesting Quotations